

RECEIPT
FILE COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Application of:)

Lars R. Stromme et al.)

Serial No.: 09/541,170)

Filed: April 3, 2000)

Title: "Method and Apparatus For
Document Processing")



Attorney Docket No. 47171-00267

Group Art Unit: 3652

Examiner: Unknown

Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on July 10, 2000.

Adrienne Morris

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a copy of the official Filing Receipt received from the PTO for the above-referenced application, for which issuance of a corrected Filing Receipt is respectfully requested.

There are errors with respect to the following data, which is incorrectly entered.

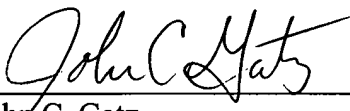
Error	Correct Data
Addressee	John C. Gatz, not John C. Getz
Applicant(s)	Applicants filed with application a Statement under 37 C.F.R. § 1.63(d)(2) amending Inventorship (additional copy attached hereto). Therefore, Matthew L. Anderson, Robert J. Klein and Heinz W. Schreiter should be deleted as inventors in this application.

The corrections are not due to any error by Applicants, as can be seen from the enclosed application transmittal. Accordingly, no fee is due.

Respectfully submitted,

Date: July 10, 2000





John C. Gatz
Reg. No. 41,774
JENKENS & GILCHRIST
1445 Ross Avenue
Suite 3200
Dallas, Texas 75202-2799
(312) 744-0090

Attorney for Applicants

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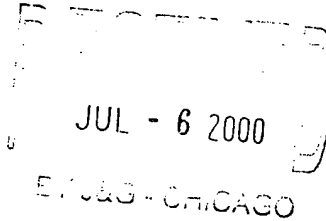
FILING RECEIPT



OC000000005166832

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/541,170	04/03/2000	3652	4140	47171-00267	-	164	14

John C Getz
Jenkins & Gilchrist
1445 Ross Avenue
Suite 3200
Dallas, TX 75202

INTELLECTUAL PROPERTY

JUN 19 2000

JENKENS & GILCHRIST

Date Mailed: 06/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Robert J. Klein, DesPlaines, IL ;
 Richard A. Mazur, Naperville, IL ;
 Douglas U. Mennie, Barrington, IL ;
 Mark C. Munro, Park Ridge, IL ;
 Heinz W. Schreiter, Skokie, IL ;
 Lars R. Stromme, Holmestrand, NORWAY;
 Matthew L. Anderson, Salem, WI ;
 Bradford T. Graves, Arlington Heights, IL ;
 William J. Jones, Kenilworth, IL ;

Continuing Data as Claimed by Applicant

* DOCKETED

Int: SW DT: 7/3/00Action filing receipt 4/19/00 Date Date: _____

THIS APPLICATION IS A CON OF 08/864,423 05/28/1997
 WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996
 AND CLAIMS BENEFIT OF 60/034,954 01/16/1997
 AND CLAIMS BENEFIT OF 60/038,340 02/27/1997
 WHICH IS A CIP OF 08/800,053 02/14/1997 PAT 5,992,601
 WHICH IS A CIP OF 08/573,392 12/15/1995 PAT 5,790,697
 WHICH IS A CIP OF 08/399,854 03/07/1995 PAT 5,875,259
 AND A CIP OF 08/394,752 02/27/1995 PAT 5,724,438
 AND A CIP OF 08/362,848 12/22/1994 PAT 5,870,487
 AND A CIP OF 08/340,031 11/14/1994 PAT 5,815,592
 AND A CIP OF 08/317,349 10/04/1994 PAT 5,640,463
 AND A CIP OF 08/287,882 08/09/1994 PAT 5,652,802
 AND A CIP OF 08/243,807 05/16/1994 PAT 5,633,949
 AND A CIP OF 08/226,660 04/12/1994
 AND SAID 08/399,854 03/07/1995
 IS A CIP OF 08/394,752 02/27/1995 PAT 5,724,438
 AND A CIP OF 08/340,031 11/14/1994 PAT 5,815,592
 AND A CIP OF 08/287,882 08/09/1994 PAT 5,652,802
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 AND SAID
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 AND SAID
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 AND A CIP OF 08/219,093 03/29/1994 ABN
 AND A CIP OF 08/127,334 09/27/1993 PAT 5,467,405
 AND SAID 08/243,807 05/16/1994
 IS A CIP OF 08/219,093 03/29/1994 ABN
 AND A CIP OF 08/127,334 09/27/1993 PAT 5,467,405
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 IS A CIP OF 08/127,334 09/27/1993 PAT 5,467,405
 AND SAID 08/207,592 03/08/1994
 IS A CIP OF 08/127,334 09/27/1993 PAT 5,467,405
 WHICH IS A CON OF 07/885,648 05/19/1992 PAT 5,295,196
 AND SAID
 IS A CIP OF 07/475,111 02/05/1990 ABN



F reign Applications

PCT PCT/US95/02992 03/08/1995
 PCT PCT/US95/11393 09/07/1995

If Required, Foreign Filing License Granted 06/08/2000

—

Title

Method and apparatus for document processing

Preliminary Class

194

Data entry by : RIVERS, ANNETTE

Team : OIPE

Date: 06/09/2000



file:///c:/APPS/preexam/correspondence/1.htm

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

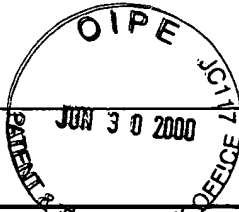
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



GAU 3652

AMENDMENT TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.:
CUMM:267 (47171-00267USC1)

SERIAL NUMBER: 09/541,170 FILING DATE: April 3, 2000 EXAMINER: Unknown GROUP ART UNIT: Unknown

INVENTION: METHOD AND APPARATUS FOR DOCUMENT PROCESSING

INVENTOR(S): LARS R. STROMME, ET AL.

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

CLAIMS AS AMENDED

(1)	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) NO. OF EXTRA CLAIMS PRESENT	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS 164	164	MINUS	164	-0-	X \$18	\$ 0.00
INDEP. CLAIMS 14	14	MINUS	14	-0-	X \$78	\$ 0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0.00

- * If the entry in column 2 is less than the entry in column 4, write "0" in column 5.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☒ No additional fee is required.
☐ Charge \$ _____ to Deposit Account No. _____. A triplicate copy of this sheet is enclosed.

☐ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution (except for payment of the Issue Fee) of this application without specific authorization, or credit any overpayment to Deposit Account No. 10-0447 (Order No. CUMM:267).

☐ The undersigned petitions for any extension of time for filing this document required under 37 C.F.R. 1.136 and a check in the amount of \$110.00 is enclosed.

June 28, 2000

Date

JENKENS & GILCHRIST
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
312/744-0090

Signature

John C. Gatz, Registration No. 41,774

Patent Agent, Reg. Number

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 6/28/00.

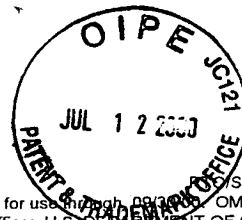
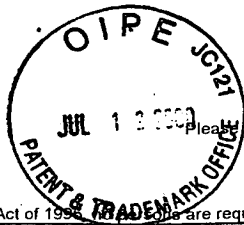
June 28, 2000

(Date)

(Signature)

Adrienne Morris

(Printed)



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Approved for use through 08/31/03 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	47171-00267	Total Pages	141
First Named Inventor or Application Identifier			
Lars R. Stromme et al.			
Express Mail Label No.	EL566135815US		

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 99]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D Invention
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Formal Drawing(s) (35 USC 113) [Total Sheets 0]
4. ☒ Oath or Declaration [Total Pages 0]
 - a. ☐ Unexecuted (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☒ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/864,423

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

NAME	John C. Gatz, Registration No. 41,774				
ADDRESS	JENKENS & GILCHRIST 1445 Ross Avenue, Suite 3200				
CITY	Dallas	STATE	TX	ZIP CODE	75202
COUNTRY	U.S.A.	TELEPHONE	312.744.0090	FAX	312.755.4489

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

EL566135815US

CHICAGO 32216v1 47171-00267

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Application of:

Lars R. Stromme et al.

Serial No.: Unknown

Filed: April 3, 2000

Title: "Method And Apparatus For Document Processing"

Attorney Docket No. CUMM:267
(47171-00267)

Group Art Unit: Unknown

Examiner: Unknown



CERTIFICATE OF MAILING

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Adrienne Morris

37 CFR \$1.63(d)(2) STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

The Applicants respectfully request the deletion of the following individuals from the continuation application: Matthew L. Anderson, Robert J. Klein and Heinz W. Schreiter. The remaining six individuals are the inventors of the invention claimed herein. The Applicants have attached the original Declaration from Serial No. 08/864,423 as **Exhibit 1**.

The Applicants do not believe there are any fees associated with this Statement. However, the Commissioner is hereby authorized to charge Deposit Account No. 10-0447 (Order No. 47171-00267) for any additional fees that may be required, except for the issue fee.

Date: April 3, 2000

Respectfully submitted,

John C. Gatz

Reg. No. 41,774

JENKENS & GILCHRIST

1445 Ross Avenue, Suite 3200

Dallas, Texas 75202-2799

(312) 744-0090

Attorney for Applicants



EXHIBIT 1



DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

The below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR DOCUMENT PROCESSING, the specification of which:

_____ is attached hereto.

x was filed on May 28, 1997 as Application Serial No. 08/864,423.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)

I hereby foreign/pct priority benefits under Title 35, United States Code, §119 of any foreign/pct application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S)

PRIORITY CLAIMED:

(Number) (Country/PCT) (Date Filed)

Yes/No

(Number) (Country/PCT) (Date Filed)

Yes/No

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PRIOR APPLICATION NUMBER

FILING DATE

60/018,563

May 29, 1996

60/034,954

January 16, 1997

60/038,340

February 27, 1997

CLAIM FOR BENEFIT OF PRIOR U.S. APPLICATION(S) (35 U.S.C. § 120)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), regarding events which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/800,053</u>	<u>February 14, 1997</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)

<u>08/573,392</u>	<u>December 15, 1995</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to Stephen G. Rudisill, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.



Inventor's Signature: Robert J. Klein

Date: 12/4/97 Country of Citizenship: USA

Residence: 1048 Stockton, DesPlaines, IL 60018 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Richard A. Mazur
(First) (Initial) (Last)

Inventor's Signature: Richard A Mazur

Date: 12-4-97 Country of Citizenship: USA

Residence: 1508 Culpepper Drive, Naperville, IL 60540 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Douglas U. Mennie
(First) (Initial) (Last)

Inventor's Signature: Douglas U Mennie

Date: 12-4-97 Country of Citizenship: USA

Residence: 229 Wood Street, Barrington, IL 60010 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Mark C. Munro
(First) (Initial) (Last)

Inventor's Signature: Mark C Munro

Date: 12-4-97 Country of Citizenship: USA

Residence: 736 Parkwood Avenue, Park Ridge, IL 60068 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

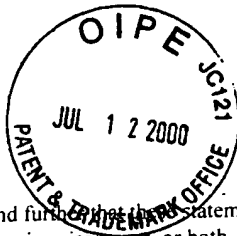
Inventor's Full Name: Heinz W. Schreiter
(First) (Initial) (Last)

Inventor's Signature: Heinz W. Schreiter

Date: 12-4-97 Country of Citizenship: USA

Residence: 8049 N. Tripp Ave., Skokie, IL 60076 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above



belief are believed to be true; and further, that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Lars R. Stromme
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: Norwegian

Residence: c/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Matthew L. Anderson
(First) (Initial) (Last)

Inventor's Signature: Matthew L. Anderson

Date: 12-4-97 Country of Citizenship: USA

Residence: 8556 235th Ave., Salem, WI 53168 USA
(Include number, street name, city, state, and country)

Post Office Address: _____

Inventor's Full Name: Bradford T. Graves
(First) (Initial) (Last)

Inventor's Signature: Bradford T. Graves

Date: 12/4/97 Country of Citizenship: USA

Residence: 3952 Newport Way, Arlington Heights, IL 60005 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: William J. Jones
(First) (Initial) (Last)

Inventor's Signature: William J. Jones

Date: 12-4-97 Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above



I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Lars R. Stromme
(First) (Initial) (Last)

Inventor's Signature: Lars R. Stromme

Date: 12-22-97 Country of Citizenship: Norwegian

Residence: c/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Matthew L. Anderson
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 8556 235th Ave., Salem, WI 53168 USA
(Include number, street name, city, state, and country)

Post Office Address: _____

Inventor's Full Name: Bradford T. Graves
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 3952 Newport Way, Arlington Heights, IL 60005 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: William J. Jones
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above